



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Lalitha Vaidyanathan; John Quinn; Ahmed Khaishgi; Cara Cherry	Confirmation No.	6366
Serial No.:	10/634,654	Customer No.:	28863
Filed:	August 5, 2003	Examiner:	Unknown
Group Art Unit:	3629	Docket No.:	1018-001US02
Title:	SYSTEM AND METHOD FOR RESOLVING A DISPUTE IN ELECTRONIC COMMERCE AND MANAGING AN ONLINE DISPUTE RESOLUTION PROCESS		

CERTIFICATE UNDER 37 CFR 1.8: I hereby certify that this correspondence is being deposited with the United States Post Service, as First Class Mail, in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on November 26, 2003.

By: Angela Watson  
Name: Angela Watson

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

Applicant submits the reference listed on the attached form PTO-1449, a copy of which is enclosed. This statement is being filed, to the best of Applicant's knowledge, before the receipt of a first Office Action on the merits.

Respectfully submitted,

Date: November 26, 2003

Shumaker & Sieffert, P.A.  
8425 Seasons Parkway, Suite 105  
St. Paul, Minnesota 55125  
Phone: (651) 735-1100  
Fax: (651) 735-1102

Kent J. Sieffert  
By: Kent J. Sieffert  
Reg. No. 41,312

<b>Form 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 1018-001US02	Application Number: 10/634,654
	Applicant: Lalitha Vaidyanathan; John Quinn; Ahmed Khaishgi; Cara Cherry	
	Filing Date: August 5, 2003	Group Art Unit: Unknown
	Examiner Name: Unknown	



## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Issue/Document Publication Date	Name	Filing Date If Appropriate
/GA/	5,495,412	2/27/96	Thiessen	
	5,668,953	9/16/1997	Sloo	
	5,893,905	4/13/1999	Main et al.	
	5,895,450	4/20/1999	Sloo	
	5,956,687	09/21/1999	Wamsley et al.	
	5,970,475	10/19/1999	Barnes et al.	
	6,237,096 B1	05/22/2001	Bisbee et al.	
	6,347,332 B1	02/12/2002	Malet et al.	
	6,363,384	3/26/2002	Cookmeyer, II, et al.	
	6,389,151 B1	05/14/2002	Carr et al.	
	6,442,687 B1	08/27/2002	Savage	
	6,470,448 B1	10/22/2002	Kuroda et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Translation	
				Yes	No
	EP 0 689 824 A1	01/03/1996	EP	X	

## OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Publication)

	Information on Bell Atlantic, 1998, 1999
/GA/	Explanation of 'contract' and 'marketplace' printed from <a href="http://www.dictionary.com">www.dictionary.com</a>
/GA/	Information on Neural Tech, Inc., 1996-1998
/GA/	Unisys to Market NeuralTech CADRE software as part of its credit card dispute processing solution set, 22 October 1998.
	Declaration of Ethan Katch Under 37 C.F.R. 1.132
/GA/	<a href="http://www.arb-forum.com">http://www.arb-forum.com</a> - National Arbitration Forum
/GA/	<a href="http://www.i-courthouse.com">http://www.i-courthouse.com</a> - I-Courthouse, Inc.
/GA/	<a href="http://www.internetneutral.com">http://www.internetneutral.com</a> - Internet Neutral
/GA/	<a href="http://www.arbiter.wipo.int/arbitration/">http://www.arbiter.wipo.int/arbitration/</a> - WIPO Arbitration and Mediation Center



/GA/	<a href="http://clicknsettle.com">http://clicknsettle.com</a> - ClickNsettle.com, Inc.
/GA/	<a href="http://www.cybersettle.com">http://www.cybersettle.com</a> - Cybersettle.com
/GA/	<a href="http://www.onlineresolution.com">http://www.onlineresolution.com</a> - Online Resolution – Mediation
	<del><a href="http://www.iescrow.com">http://www.iescrow.com</a> - I-Escrow Inc.</del>
	<del><a href="http://www.resolution.org">http://www.resolution.org</a> - Resolution Forum, Inc.</del>
/GA/	<a href="http://www.disputes.org">http://www.disputes.org</a> - Disputes.Org
/GA/	<a href="http://www.ebay.com">http://www.ebay.com</a> - eBay Inc.
/GA/	<a href="http://www.digimarc.com">http://www.digimarc.com</a> - Digimarc
/GA/	<a href="http://www.webassured.com">http://www.webassured.com</a> - WebAssured.com
/GA/	<a href="http://www.bbbonline.com">http://www.bbbonline.com</a> - BBBOnline (A Better Business Bureau Program)
/GA/	<a href="http://www.valuestar.com">http://www.valuestar.com</a> - ValueStar, Inc.
/GA/	<a href="http://www.verisign.com">http://www.verisign.com</a> - VeriSign Internet Trust Services
/GA/	<a href="http://www.truste.com">http://www.truste.com</a> - TRUSTe
/GA/	<a href="http://www.paypal.com">http://www.paypal.com</a> - PayPal
/GA/	<a href="http://www.betterweb.com">http://www.betterweb.com</a> - BetterWeb Program
/GA/	U.S. Serial Number 09/634,149, filed August 8, 2000, entitled "Electronic Seals," by Khaishgi, Ahmed et al.
/GA/	U.S. Serial Number 10/643,263, filed August 19, 2003, entitled "Certification and Unique Electronic Seals for Online Entities," by Khaishgi, Ahmed et al.
/GA/	U.S. Serial Number 10/643,309, filed August 19, 2003, entitled "Security for Uniquely Generated Electronic Seals of Certification," by Khaishgi, Ahmed et al.
<b>EXAMINER</b>	<b>Date Considered</b>
/Gerardo Araque Jr/	11/20/2008

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820  
(Also form PTO-1449)

Patent and Trademark Office, U.S. Department of Commerce